

Schedule 3

SCHEDULE 3 – Employee Claims*

<u>Bonus Claimant</u>	<u>Claim Amount</u>
1. SICA, GARY P.	\$0 to \$1,195,000
2. ENOS, JOY	\$0 to \$948,000
3. GRAHAM, COLLEEN	\$0 to \$613,000
4. SANTORO, MICHAEL	\$0 to \$128,000
5. CUTLER, WINSTON	\$0 to \$104,000
6. LI, LINGLU	\$0 to \$80,000
7. CHEE, EILEEN CATHERINE	\$0 to \$80,000
8. SANCHEZ, VERONICA	\$0 to \$70,000
9. PAPADAKOS, CATHERINE	\$0 to \$45,000
10. MACMOYLE, DAVID	\$0 to \$40,000
11. ATLAS, GREGORY	\$0 to \$35,000
12. SILICON VALLEY BANK (SVB GERMANY BRANCH)	\$0 to \$4,000
13. HUSAIN, SHABBIR	\$0 to \$35,000

Total: **\$0 to \$3,377,000**

<u>Deferred Compensation Claimant</u>	<u>Claim Amount</u>
1. KARPUK, LEAH	\$54,000
2. STEDMAN, CHRISTOPHER D.	\$3,952,000
3. HARDIN, TIMOTHY M	\$3,558,000
4. GERSHENBERG, AARON G.	\$2,028,000
5. DRAPER, MICHELLE	\$2,472,000
6. TU, RICKY KY	\$2,247,000
7. STEVENS, WILLIAM ANTHONY	\$2,196,000
8. COPPINI, ADRIAN	\$1,008,000 to \$1,939,000
9. ROHRWASSER, MARK	\$1,926,000
10. SCHEFFEL, MEGAN	\$1,866,000
11. MOSELEY, JACOB	\$1,742,000
12. SCOTT, PETER	\$1,709,000
13. LOPIANO, GISELA	\$1,594,000 to \$1,602,000
14. RIDGLEY, DEREK	\$1,547,000
15. YARLAGADDA, PHANINDRA	\$1,543,000
16. TURK, MARK A.	\$639,000
17. ELLISON, JAMES ELLIS	\$1,169,000
18. PRESSEY, WALTER	\$1,052,000
19. ALGAN, BERK	\$1,000,000 to 1,040,000
20. BECKER, GREGORY W.	\$985,000
21. CHANDLER, DONALD J	\$946,000

* All claim amounts set forth herein are not an admission of liability by, or binding on, SVB Financial Group (“SVBFG”) but are current estimates based on unliquidated and undetermined claims filed in SVBFG’s Chapter 11 bankruptcy proceeding in the United States Bankruptcy Court for the Southern District of New York and are subject to the reconciliation process therein.

22. SOZA, JOHN T.	\$913,000
23. RISICA, JASON	\$904,000
24. CORNMAN, JEFFREY	\$841,000
25. PARSONS, JAMES T.	\$804,000
26. THYLIN, MARK	\$781,000
27. SAHOO, CHINMAYA K	\$704,000
28. HARBIR DHILLON	\$700,000
29. DECHELLIS, ANTHONY	\$641,000
30. REGAN, JOSEPH	\$610,000 to \$655,000
31. WANG, KAI	\$640,000
32. HANLEY, KEITH M	\$626,000 to \$640,000
33. FALCONER, QUENTIN K	\$630,000
34. NOBLE, MARK A.	\$629,000
35. BHAGAT, DEVENDRA S	\$557,000 to \$579,000
36. HAMILTON, DOUGLAS LUKE	\$574,000
37. SRINIVASAN, SUNDER	\$559,000
38. BOWER, TRACY	\$498,000 to 551,000
39. MACDONALD, W. TIMOTHY	\$475,000 to \$529,000
40. SURECK, ROBERT LOUIS	\$520,000
41. WHITTENBERG, CHARLES	\$490,000
42. GOSALIA, SANJAY S	\$0 to \$485,000
43. GEORGE, NIRMALA	\$470,000
44. SANJAY GOSALIA	\$465,000
45. MINGRONE, SUANNE L.	\$462,000
46. HARRIS, MARK S.	\$447,000
47. ZAHN, LAWRENCE HOWARD	\$435,000
48. DEVERY, MICHAEL	\$433,000
49. IYER, RAJESH	\$209,000
50. O'LEARY, JOSEPH PATRICK	\$414,000
51. BLEE, ROBERT JOHN	\$414,000
52. MARK LAU	\$410,000
53. LAU, MARK	\$0 to \$408,000
54. STEVENSON, MARK L.	\$392,000
55. GOLDFARB, MELISSA LYN	\$382,000
56. PIONKE, KATHY WONG	\$369,000 to \$378,000
57. VULTAGGIO, PETER	\$367,000 to \$375,000
58. PIERCE-GILMORE, KATHLEEN	\$355,000
59. MAMDANI, SULAIMAN	\$346,000
60. SALMASO, ANTONIO	\$343,000
61. KATHERINE ANDERSEN	\$335,000
62. BECHT, ELLEN	\$324,000
63. SONG, LI	\$324,000
64. PARIKH, KIRTIDA	\$307,000 to \$322,000
65. BENJAMIN YU	\$309,000
66. RAJAGOPALAN, SATAGOPAN	\$298,000
67. KIRKLAND, DALE C.	\$279,000

68. PARK, MIN	\$278,000
69. VORA, NIKHIL	\$272,000
70. EBERT, JULIE BETTS	\$262,000
71. RILEY, JOHN G	\$258,000
72. YAKKALA, RAMANJANEYULU	\$245,000
73. HELD, DAVID J	\$252,000
74. CASE, JOHN	\$251,000
75. CANAZARO, CHRISTOPHER JOHN	\$236,000
76. ANTONOV, IVO	\$208,000 to \$209,000
77. CHRISTOPHER MONIZ	\$204,000
78. KATHLEEN WALSH	\$191,000
79. PACHUS, GREGORY S	\$189,000
80. PETER BENHAM	\$189,000
81. HE, ZHEN WEI	\$0 to \$187,000
82. DENNIS HE	\$187,000
83. WHITE, MIKE	\$0 to \$187,000
84. MORETTI, MICHAEL	\$173,000 to 186,000
85. ROBERT WHITE	\$185,000
86. RENTA, MONIQUE	\$183,000
87. FRELEN, ROBERT	\$181,000
88. LEONE, ROGER	\$180,000
89. THOMAS HAYES	\$179,000
90. SPENCER, JACQUELYN	\$0 to \$178,000
91. JACQUELYN SPENCER	\$178,000
92. PANDIA, KAUSHAL	\$174,000
93. FREYER, PETER	\$172,000
94. MEYER, JESSE	\$172,000
95. CHEE, EILEEN CATHERINE	\$171,000
96. BROTHERS, THOMAS	\$171,000
97. COLE, LAUREN	\$170,000
98. BISSIN, JAMES	\$167,000
99. GORDON, THOMAS F.	\$167,000
100. LEE, JOHN	\$153,000 to \$167,000
101. TYLER, JAMES	\$164,000
102. MATTHEW GRIFFITHS	\$163,000
103. STEPHEN AGULAR	\$162,000
104. VIJAYKRISHNAN, PREETHI	\$146,000 to \$162,000
105. RYAN ROLLER	\$161,000
106. IZURIETA, LAURA	\$154,000 to \$158,000
107. SHEVELYOV, NICHOLAS	\$72,000 to 81,000
108. TOOMEY, MARY	\$154,000
109. PARRY, SHAWN	\$152,000
110. NADEL, ALAN MICHAEL	\$150,000
111. SUBILIA, SAMUEL	\$150,000
112. COLACO, JOSEPH STUART	\$146,000
113. KEVIN FLEISCHMAN	\$146,000

114. SKIMINA, STANLEY GREGORY	\$146,000
115. LI, TERESA	\$145,000
116. MICHAEL HANEWICH	\$137,000
117. JONATHAN NORRIS	\$135,000
118. LEE, CHRIS	\$135,000
119. BALASUBRAMANIAN, VIDYA	\$126,000 to \$131,000
120. RATHI, BRIJESH	\$129,000
121. MALONEY, MATTHEW	\$127,000
122. RICO, ANDREW A.	\$0 to \$123,000
123. ZETTLER, DEANNE KATHLEEN	\$119,000
124. ENOS, JOY	\$110,000 to \$114,000
125. MOORE, MARK	\$107,000 to \$108,000
126. PAUL GALLAGHER	\$108,000
127. SCHNITZ, JEFFREY	\$94,000 to \$103,000
128. MEHROTRA, DHUV	\$102,000
129. MCCARTY, MICHAEL SCOTT	\$99,000
130. CHRISTIAN, NICHOLAS	\$95,000
131. SCOTT, LAURA M	\$92,000
132. MA, CHRISTIE	\$92,000
133. COX, KEITH	\$92,000
134. HERTZBERG, THOMAS HOWARD	\$92,000
135. ANTHONY FLORES	\$91,000
136. ROBINSON, CRAIG	\$88,000
137. SUJANANI, ANJALEE	\$88,000
138. DACRUZ, IGOR	\$75,000 to \$86,000
139. STAPLES, ROBIN L	\$86,000
140. ROBINSON, ERIN	\$82,000 to \$85,000
141. MINGRONE, ROBERT	\$85,000
142. JAZDOWSKI, OSCAR	\$83,000 to \$84,000
143. BRANDON CLARK	\$81,000
144. TANDON, PRATEEK	\$81,000
145. FRANK, KALE	\$80,000
146. CHEN, NAN	\$77,000
147. POWERS, BRIAN FRANCIS	\$76,000
148. SLETTELAND, PETER	\$76,000
149. ROHMER, KRISTINE	\$76,000
150. MCMAHON, MICHAEL	\$76,000
151. RUSTAGI, AMAN	\$70,000 to \$76,000
152. GOODWINE, ANNETTE LIM	\$74,000
153. NENTWIG, ROBERT	\$74,000
154. DIPPOLD, MELODY	\$73,000
155. SCOTT, STEVEN J	\$72,000
156. GARRISON, ELIZABETH K.	\$0 to \$34,000
157. CHRISTIAN ORTIZ	\$63,000
158. NANDA, ATUL	\$62,000
159. THANKAPPAN, MANU	\$56,000 to \$62,000

160. GEORGE, MARK ALLAN	\$55,000 to \$62,000
161. NILSEN, CHARLES N	\$57,000
162. SACCOCCIA, SHANNON	\$51,000 to \$58,000
163. ROGERS, LEANN	\$57,000
164. ALICIA KOHT-PHIPPS	\$57,000 to \$58,000
165. LONGO, KEVIN MICHAEL	\$50,000
166. PATEL, CHINTAN	\$45,000 to \$50,000
167. KASER, WILLIAM	\$46,000
168. SANTOS, MICHAEL	\$45,000
169. DENIS SHOVKOPLYAS	\$44,000
170. FRANK PISCIUNERI	\$44,000
171. BADER, LINDA	\$39,000 to \$41,000
172. BAPAT, ASHWINI	\$37,000 to \$38,000
173. ELIZABETH DEVIN TRACY	\$38,000
174. RICHARD MARTIN	\$34,000
175. ELIZABETH GARRISON	\$33,000
176. MARTIN, JR., RICHARD BERNARD	\$0 to \$33,000
177. TSE, IRENE	\$31,000
178. MESWANI, MITESH	\$26,000 to \$31,000
179. FOLEY, BRIAN	\$30,000
180. WESSEL, AULENE	\$26,000 to \$28,000
181. MATLOW, SUSAN K	\$29,000 to \$30,000
182. UMMEL, JEAN JEN YEE	\$28,000
183. COURTNEY PHOMMATHEP	\$24,000
184. BOB RUBENSTEIN	\$22,000
185. TZU-LING LIN	\$20,000
186. LI, ULYSSES	\$20,000
187. MARIA EILEEN GAMBOA	\$19,000
188. ALLISON BAIRD	\$19,000
189. CASEY, BRENDAN	\$0 to \$18,000
190. BRENDAN CASEY	\$18,000
191. KOH, LIANG-KHOON	\$17,000
192. ELIZABETH BEAM	\$15,000
193. HEATHER NIERLING	\$15,000
194. BRUNELLE, DEREK	\$14,000
195. ANNE BACHER	\$14,000
196. JAMES MCCARRON	\$13,000
197. TOM GROSSKOPF	\$13,000
198. LONG, RODERICK	\$13,000
199. Nanci FASTRE	\$12,000
200. KENNETH VEACH	\$12,000
201. SUSAN WINTER	\$11,000
202. KAMLESH BARVALIA	\$11,000
203. RAO, RAJESHWARI	\$8,000
204. EMILY BARRON	\$7,000
205. VIDAL, SUSAN	\$7,000

206. D'SOUZA, GILROY	\$7,000
207. SANJAY MODY	\$7,000
208. BAUER, JEFFREY	\$6,000
209. MUTHU SANKAR	\$6,000
210. TABACEK, HALINA	\$6,000
211. ONG, ANTHONY	\$6,000
212. KAO, KIRK	\$5,000
213. SANTORO, MICHAEL	\$5,000
214. FLYNN, PATRICK	\$5,000
215. KUCHU, VINITA	\$4,000
216. EMMANUEL IBRAHIM	\$4,000
217. PAUL GRECO	\$4,000
218. KARTHIKEYAN VELU	\$4,000
219. JOY CRANE	\$4,000
220. MCCOY, CHERYL	\$3,000 to \$4,000
221. TERWILLIGER, CYNTHIA	\$4,000
222. GALEOTAFIORE, GIOVANNI	\$3,000
223. ANN LUCCHESI	\$3,000
224. SANCHEZ, VERONICA	\$3,000
225. BEKERMAN, JOANA	\$2,000 to \$3,000
226. SEPE, DAVID	\$3,000
227. ABBEY BLACK	\$3,000
228. MARTIN, MICHAEL	\$0 to \$3,000
229. MICHAEL MARTIN	\$3,000
230. SAMILJAN, JORDAN	\$2,000
231. FLEMMING, EVA	\$2,000
232. BRINKLEY, ROBIN	\$2,000
233. ADAM MILLSOM	\$2,000
234. ROUZANNA DANIELIAN	\$2,000
235. CONWAY, MICHAEL	\$2,000
236. AMY ULAKOVIC	\$2,000
237. SINGH, MAHENDER	\$0 to \$2,000
238. KRISTI THURMAIER	\$2,000
239. MAHENDER SINGH	\$2,000
240. QIAN LI	\$2,000
241. WILSON, BRADLEY	\$2,000
242. FITZPATRICK, RACHEL	\$2,000
243. SARAH STORER	\$2,000
244. HUSAIN, SHABBIR	\$2,000
245. HOEFLE, EVE	\$2,000
246. BENJAMAN JOHNSON	\$2,000
247. JAY TRACY	\$2,000
248. HARGARTEN, RICHARD	\$1,000
Total:	\$69,437,000 to \$72,243,000

HealthCare Benefits Claimant

1. VARIOUS
2. MARX, ELIZABETH A.
3. OMNE, FAUSTO
4. CLARK III, JOSEPH
5. WILSON, JACKSON
6. WILL, ANTHONY JOHN
7. SNOW, DEBBIE
8. HUSAIN, SHABBIR

Claim Amount

\$1,186,000
 \$0 to \$287,000
 \$0 to \$20,000
 \$0 to \$10,000
 \$0 to \$4,000
 \$0 to \$1,000
 \$0 to \$2,000
 \$0 to \$1,000

Total:**\$1,186,000 to \$1,511,000****Indemnification Claimant**

1. IZURIETA, LAURA
2. CROMIE, WHITNEY

Claim Amount

\$0 to \$1,000,000
 \$0 to \$25,000

Total:**\$0 to \$1,025,000****Multiple Claims Claimant**

1. PARISI, PAUL
2. DRAPER, MICHELLE
3. DECHELLIS, ANTHONY
4. GRAHAM, COLLEEN
5. WOODS, ANNE
6. JOHNSTON, DARYL
7. BECKER, GREGORY W.
8. ROSS, REBECCA
9. FISCHER, ROBERT
10. SIMONS, PAUL M
11. POLOK, DAMIAN
12. VULTAGGIO, PETER
13. DAVIDSON, JULIA
14. SPRAGUE, STEPHEN L
15. CHEE, EILEEN CATHERINE
16. LIGHTBURN, JEFFREY
17. TIFFIN, JACQUELINE
18. WAN, JANET
19. BENNETT, JEFFREY
20. SINGH, GURPREET
21. AUSMAN, JESSICA
22. SHUMAN, JAY A
23. MASHNUK, KARIM
24. GORDON, THOMAS F.
25. CHANG, TERREL

Claim Amount

\$0 to \$4,922,000
 \$0 to \$2,472,000
 \$0 to \$2,352,000
 \$0 to \$1,995,000
 \$0 to \$1,474,000
 \$0 to \$1,000,000
 \$0 to \$972,000
 \$0 to \$598,000
 \$0 to \$437,000
 \$0 to \$449,000
 \$0 to \$377,000
 \$0 to \$375,000
 \$0 to \$352,000
 \$0 to \$297,000
 \$0 to \$169,000
 \$0 to \$155,000
 \$0 to \$135,000
 \$0 to \$135,000
 \$0 to \$112,000
 \$0 to \$102,000
 \$0 to \$95,000
 \$0 to \$90,000
 \$0 to \$87,000
 \$0 to \$81,000
 \$0 to \$46,000

26. CHAVEZ, STEPHEN

\$0 to \$16,000

Total:**\$0 to \$19,295,000****SERP Claimant****Claim Amount**

1. VAILL, TIMOTHY L.
2. GAGLIARDI, BRET
3. FISCHER, MARY K.
4. LEWIS, ERIC W.
5. GEORGE, NIRMALA
6. COLACO, JOSEPH STUART

\$0 to \$6,871,000
 \$0 to \$1,522,000
 \$0 to \$519,000
 \$0 to \$56,000
 \$0 to \$470,000
 \$0 to \$155,000

Total:**\$0 to \$9,593,000****Severance Claimant****Claim Amount**

1. GRAHAM, COLLEEN
2. ZWOLFER, ROBERT
3. BIRER, STEVEN SCOTT
4. CAVANAUGH, ANN
5. HELLER, TIMOTHY L.
6. CHEE, EILEEN CATHERINE
7. COOK, ANDREW
8. SACHDEVA, SHAILESH
9. CRICKENBERGER, LAWRENCE J.
10. XU, FRANK
11. GARSCHI, MITRA
12. BECKER, LYNN
13. NORFLEET, ADRIAN
14. SHIHADY, ANDREA
15. ALICIA CHURCHILL
16. HUMPHREY, FIONA
17. HAKKAK, JAVAD T.
18. DECHELLIS, ANTHONY
19. SIMONS, PAUL M
20. HIGGINS, ANNE
21. KAMLESH BARVALIA

\$0 to \$1,201,000
 \$0 to \$459,000
 \$0 to \$262,000
 \$0 to \$228,000
 \$0 to \$145,000
 \$0 to \$144,000
 \$0 to \$106,000
 \$0 to \$81,000
 \$0 to \$55,000
 \$0 to \$44,000
 \$0 to \$37,000
 \$0 to \$26,000
 \$0 to \$23,000
 \$0 to \$6,000
 \$0 to \$5,000
 \$0 to \$4,000
 \$0 to \$39,000
 \$0 to \$1,675,000
 \$0 to \$428,000
 \$0 to \$1,000
 \$0 to \$129,000

Total:**\$0 to \$5,098,000****Stock Plan Purchase (ESPP) Claimant****Claim Amount**

1. BUTLER, TONI HENDERSON
2. VIJAYKRISHNAN, PREETHI
3. MALONEY, MATTHEW
4. ONG, DARREN
5. MOHAN, LAKSHMI
6. CHIARAMONTE, CHRISTINA

\$0 to \$370,000
 \$0 to \$136,000
 \$0 to \$132,000
 \$0 to \$79,000
 \$0 to \$68,000
 \$0 to \$59,000

7. MCOMBER, MATTHEW J	\$0 to \$59,000
8. LAKSHMANAN, MANIKANDAN	\$0 to \$52,000
9. GARCIA, ANNIA FERNANDEZ	\$0 to \$48,000
10. WNEK, SARA M	\$0 to \$39,000
11. LOUWAGIE, JENIFER	\$0 to \$39,000
12. CHOTAI, ASHOK P.	\$0 to \$34,000
13. JOHNSTON, NICOLE	\$0 to \$33,000
14. FOX, KERRI	\$0 to \$30,000
15. HANLEY, KEITH M	\$0 to \$21,000
16. SANG, TARIN	\$0 to \$21,000
17. FRANCO, RHENZIE ANNE	\$0 to \$11,000
18. RAO, ASWINIYA RAGHAVENDRA	\$0 to \$11,000
19. MAISEL, STEVEN I.	\$0 to \$10,000
20. BUONOMO, SAMUEL TROY	\$0 to \$21,000
21. CRAFT, KRISTEN	\$0 to \$10,000
22. GRAHAM, COLLEEN	\$0 to \$9,000
23. MILLER COLEY, HANNAH	\$0 to \$8,000
24. KAUSHIK, AASHISH	\$0 to \$8,000
25. JACOB, MATHEW	\$0 to \$8,000
26. DEVARAAJ, GOPIKRISHNA	\$0 to \$7,000
27. ROSENTHAL, PATTI	\$0 to \$5,000
28. FEKECI, NICOLAS	\$0 to \$4,000
29. SMOTHERS, TAMMY	\$0 to \$4,000
30. EULATIC, MADONNA	\$0 to \$3,000
31. ARAVINDALOCHANAN, AARTHI	\$0 to \$3,000
32. GBENJO, ADEYINKA	\$0 to \$2,000
33. ANDERSEN, JODI	\$0 to \$2,000
34. LYON, ELISABETH	\$0 to \$1,000
Total:	\$0 to \$1,347,000

<u>Wage Claimant</u>	<u>Claim Amount</u>
1. WHALEN, SCOTT	\$0 to \$150,000
2. DEE, KIMBERLY	\$0 to \$100,000
3. WNEK, SARA M	\$0 to \$39,000
4. ROBINSON, CRAIG	\$0 to \$89,000
Total:	\$0 to \$378,000